



JUN 27 2002

Please type a plus sign (+) inside this box →

1652

Approved for use through 09/30/2000. OMB 0651-0031
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

| | | |
|--|------------------------|--------------|
| Application Number | 10/040,204 | |
| Filing Date | 19 December 2001 | |
| First Named Inventor | Kari I. Kivirikko | |
| Group Art Unit | 1652 | |
| Examiner Name | Not yet assigned | |
| Total Number of Pages in This Submission | Attorney Docket Number | FP0203.4 CON |

JUL 02 2002

RECEIVED

ENCLOSURES (check all that apply)

| | | |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Response | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | <input type="checkbox"/> PTO Form 1449 Copies of cited references |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Small Entity Statement | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |
| Remarks | | |

COPY OF PAPERS ORIGINALLY FILED

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

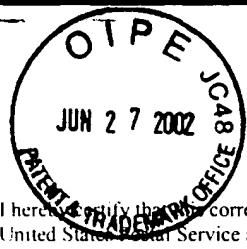
| | |
|-------------------------|---------------------------------|
| Firm or Individual name | Leanne C. Price Reg. No. 42,090 |
| Signature | |
| Date | 18 June 02 |

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

| | | | |
|-----------------------|-------------------|------|--------------|
| Typed or printed name | Carolyn C. Caires | | |
| Signature | | Date | 18 June 2002 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



FP0203.4 CON

114
TMS
7/16/02

I hereby certify that correspondence is being deposited with the United States Patent Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on 18 June 2002.

Carolyn C. Caires

Printed: Carolyn C. Caires

COPY OF PAPERS
ORIGINALLY FILED

JUL 02 2002

TECH CENTER 1600/2900

RECEIVED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Kivirikko and Pihlajaniemi

Assignee: FibroGen, Inc.

Title: SYNTHESIS OF HUMAN PROCOLLAGENS AND COLLAGENS IN RECOMBINANT DNA SYSTEMS

Serial No. 10/040,204

Filing Date: 19 December 2001

Examiner: Not yet assigned

Group Art Unit: 1652

Assistant Commissioner for Patents
Washington, D.C. 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.97(b)**

Sir:

Pursuant to 37 C.F.R. 1.56, 1.97, and 1.98, Applicants wish to call to the attention of the Examiner the enclosed "List of References Cited by Applicants" (PTO Form 1449). Applicants reserve the right to antedate any reference in accordance with standard procedure.

Citation of the documents is not to be construed as an admission that the documents are necessarily prior art with respect to the present application. In addition, this submission is understood to complement the results of the Examiner's own independent search. Citation of the documents shall not be construed as a representation that a search has been made or that the cited items are inclusive of all the relevant and material citations that may be available publicly. Any NCBI or other report included on the attached list may not have an accurate date for prior art purposes. Some of the documents may have markings thereon. No significance is meant to be

attached to the markings. Applicants respectfully request that the cited documents be considered by the Examiner and that an initialed copy of the PTO Form 1449 be returned to Applicants.

If there are any questions regarding this communication, please call the undersigned at 650-866-7200.

Applicants believe that no fee is due with this communication. If, however, the Commissioner determines that a fee is due, the Commissioner is hereby authorized to charge any necessary fees to Deposit Account No. **50-0811**. **This form is enclosed in duplicate.**

Respectfully submitted,
FibroGen, Inc.

DATE: 18 June 2002



Leanne C. Price, Esq.
Reg. No. 42,090

FibroGen, Inc.
225 Gateway Boulevard
South San Francisco CA 94080
Main: 650-866-7200
Direct: 650-866-7254
Facsimile: 650-866-7204

| INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i> | | | | Application Number | 10/040,204 | | |
|---|--------------------|---|--------------------------------|---|---|---|---|
| | | | | Filing Date | 19 December 2001 | | |
| | | | | First Named Inventor | Kari I. Kivirikko | | |
| | | | | Group Art Unit | 1652 | | |
| | | | | Examiner Name | Not yet assigned | | |
| Sheet 1 | JUN 27 2002 | ATTY DOCKET NO. | FP0203.4 CON | TECH CENTER 1600/2902 | | | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIALS* | CITE NO. | U.S. PATENT DOCUMENT | | NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT | DATE OF PUBLICATION OF CITED DOCUMENT MM-DD-YY | PAGES, COLUMNS, LINES, WHERE RELEVANT PASSAGES OR RELEVANT FIGURES APPEAR | |
| | | Number | Kind Code <i>(if known)</i> | | | | |
| | | | | | | | |
| | | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIALS* | CITE NO. | FOREIGN PATENT DOCUMENT | | | NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT | DATE OF PUBLICATION OF CITED DOCUMENT MM-DD-YY | PAGES, COLUMNS, LINES, WHERE RELEVANT PASSAGES OR RELEVANT FIGURES APPEAR |
| | | Office Code | Number | Kind <i>(if known)</i> | | | |
| | | | | | | | |
| OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS | | | | | | T | |
| EXAMINER INITIALS* | Cite No. | | | | | | |
| | 1 | ALA-KOKKO et al. (1991) J. Biol. Chem. 266(22):14175-14178. | | | | | |
| | 2 | HEINEGARD & OLDBERG (1989) FASEB J. 3:2042-2051. | | | | | |
| | 3 | OLSEN et al. (1991) J. Biol. Chem. 266(2):1117-1121. | | | | | COPY OF PAPERS ORIGINALLY FILED |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| EXAMINER | | | DATE CONSIDERED | | | | |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |